

# UHF 대역 RFID 시스템용 Anti-collision Algorithm 개발

( 최종 발표 )

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## ☒ 연구 목표

- UHF 대역 RFID 시스템용 Anti-collision Algorithm 개발

## ☒ 연구 내용

- 기존 Anti-collision Algorithm 조사 및 성능분석
- 새로운 UHF 대역 Anti-collision Algorithm 개발 및 성능 분석
- 알고리즘의 성능 분석 및 검증 위한 시뮬레이터 개발
- EPC CLASS 1 Gen 2로의 확장가능성 연구

# Research results (1)

## Bit 단위 충돌 정보를 이용하는 알고리즘(2005년 5월)

### 동작절차 :

- 응답한 태그가 1개인 경우 : 리더는 응답한 태그를 인식
- 충돌이 발생한 bit가 1개인 경우
  - ✓ 태그가 모두 2개가 있는 것이므로 리더는 ScrollID 명령을 사용하여 2개의 태그를 순차적으로 인식



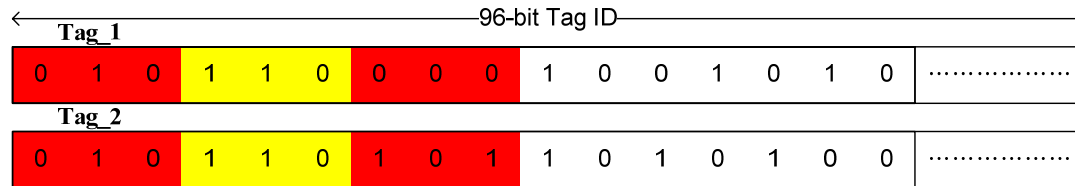
➤ 시뮬레이션 결과 초당 약 169.24개의 태그 인식 가능

# Research results (2)

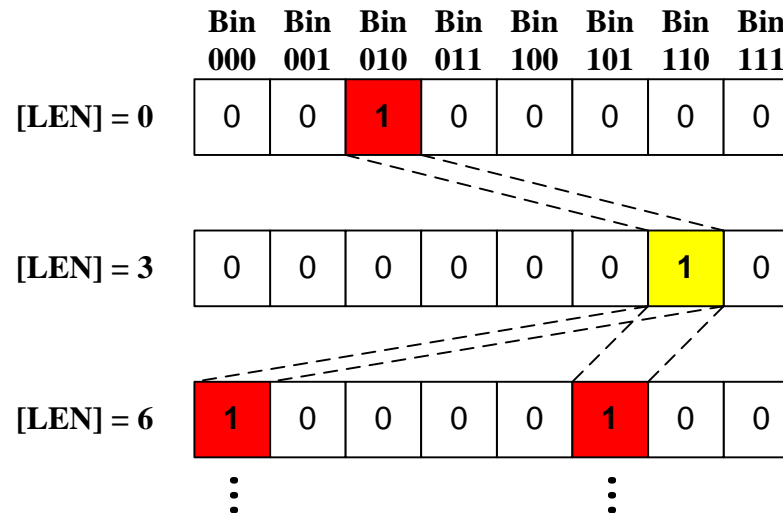
## ☒ PingID 명령만을 사용하는 알고리즘 (2005년 11,12월)

### 👉 동작절차 :

- ▶ 어떤 충돌 정보도 이용하지 않고 트리 구조로 PingID 명령만을 이용하여 3-bit씩 태그의 ID를 인식하여 마지막 96 비트까지 인식



### <MEMORY STATE>



- 👉 시뮬레이션 결과 초당 약 169.24개의 태그 인식 가능

# Research results (3)

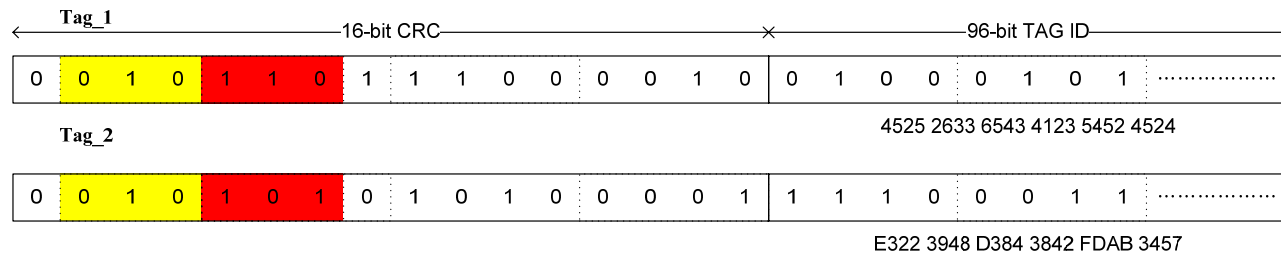
## Alien 社 알고리즘 (2006년 1월)

### 동작 절차 :

▶ 태그의 응답이 있을 경우 ScrollIID 명령을 전송

✓ 충돌이 있을 경우, [LEN]=[LEN]+3인 PingID 명령을 전송

✓ 충돌이 없을 경우, 태그를 인식



| ScrollAIID                              | Bin 000 | Bin 001 | Bin 010 | Bin 011 | Bin 100 | Bin 101 | Bin 110 | Bin 111 |
|---|---------|---------|---------|---------|---------|---------|---------|---------|
| PingID [LEN]=1,[VALUE]=0 [LEN] = 1      | 0       | 0       | 1       | 0       | 0       | 0       | 0       | 0       |
| ScrollIID [LEN]=1,[VALUE]=0 → Collision |         |         |         |         |         |         |         |         |
| PingID [LEN]=4,[VALUE]=0010 [LEN] = 4   | 0       | 0       | 0       | 0       | 0       | 1       | 1       | 0       |

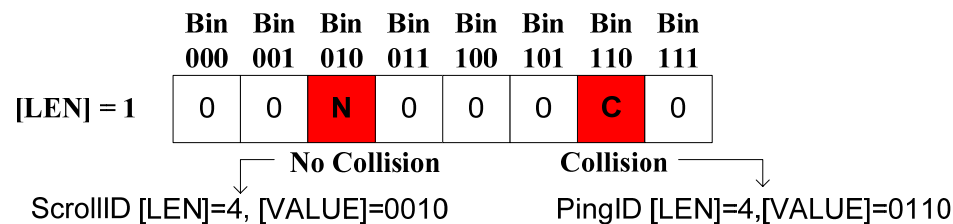
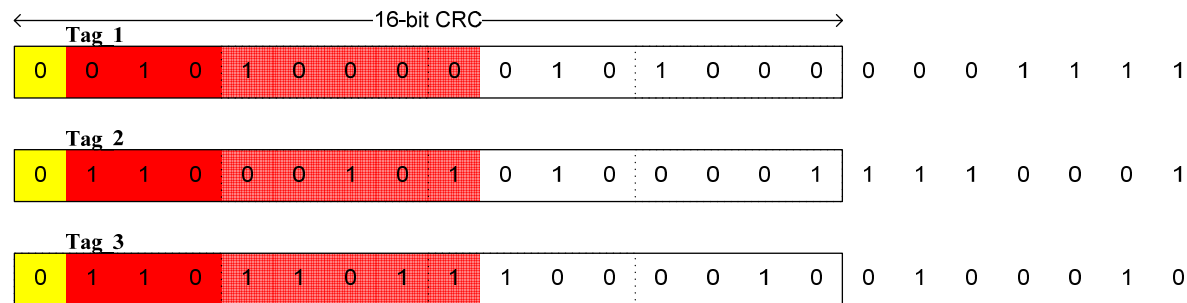
▶ 시뮬레이션 결과 초당 약 58.88개의 태그 인식 가능

# Research results (4)

## Bin Slot 내의 충돌 有/無 정보를 이용하는 알고리즘 (2006년 2월)

### 동작 절차 :

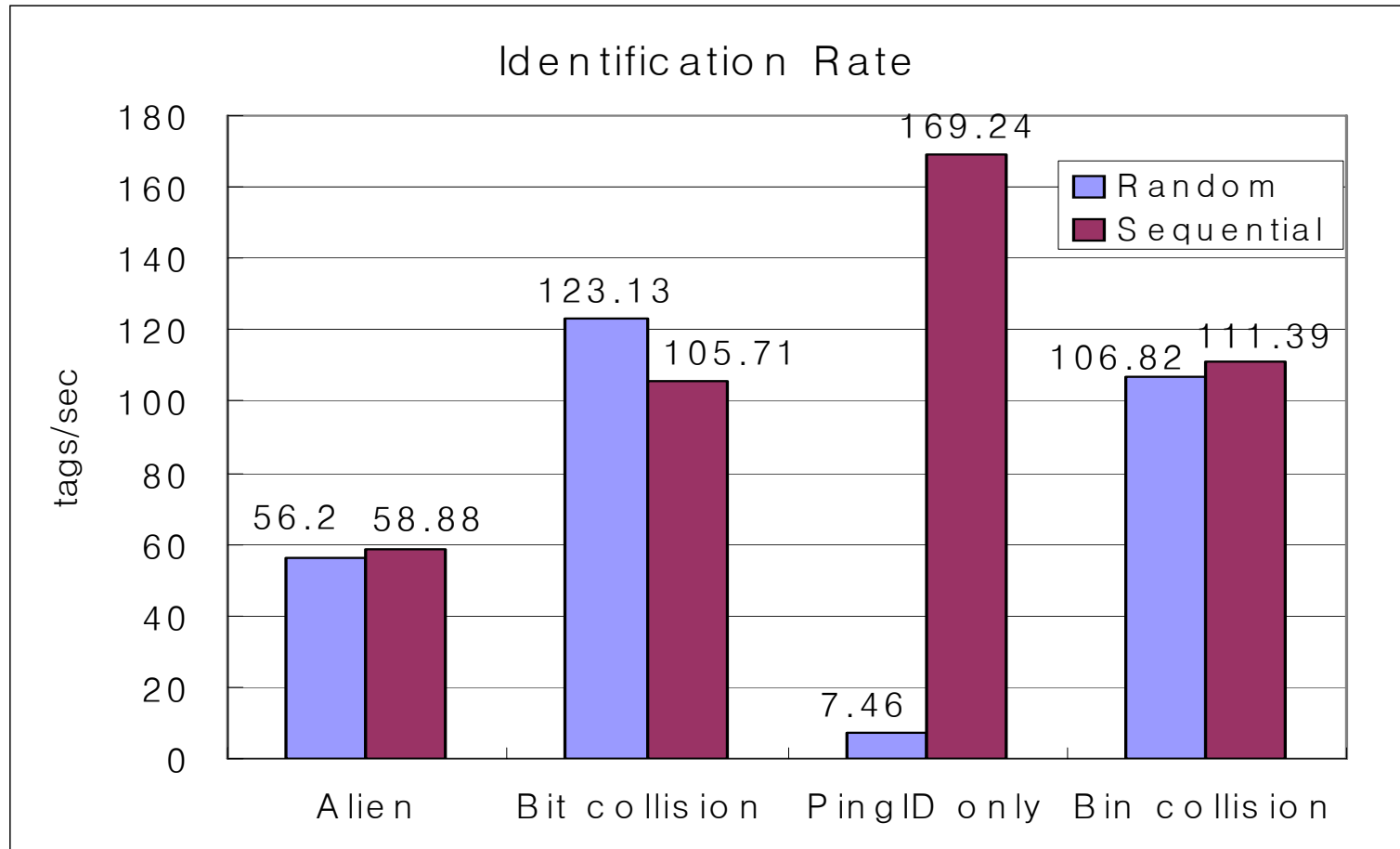
- ▶ Bin slot에 들어오는 8-bit 태그 응답 중 뒤의 5-bit에서 충돌 有/無 정보를 이용
  - ✓ 충돌이 있을 경우, [LEN]=[LEN]+3인 PingID 명령 전송
  - ✓ 충돌이 없을 경우, ScrollID 명령을 보냄
- ▶ 태그의 개수가 500개일 때 ScrollID 명령어 개수가 약 200개 감소



Jae-Hyun Kim 시뮬레이션 결과 초당 약 111.39개의 태그 인식 가능

# Simulation results

## 알고리즘 별 인식률 비교 (한국 RFID 데이터 전송률 적용)





# Gen 2 protocol basics

# Gen2 features

## ❑ High data rate

- R=>T : 26.7 to 128 kbps
- T=>R : 40 to 640 kbps

## ❑ Proven air interface

- Forward link : PIE ASK
- Backscatter link : FM0 or Miller-modulated sub-carrier

## ❑ Access control and privacy

- 32-bit kill and access passwords

## ❑ Flexible logical layer

- 16-bit to 496-bit electronic product code (EPC)
- Optional password-protected access control
- Optional user memory

# *Gen2 features(con't)*

## ☒ Reliable operation

- Proven probabilistic/slotted anti-collision
- Adapt to rapidly changing tag populations
- Flexible selection masking
  - Select specific tags for identification

## ☒ Session and inventoried flags

- Maximum 4 sessions supported
  - Pre-selection of tag groups
- Auto-inactivation by inventoried flags (A, B)

# Gen2 process

## ❑ Select process

- The process by which an interrogator selects a Tag population for inventory and access. Interrogators may use one or more *Select* commands to select a particular Tag population prior to inventory.

## ❑ Inventory process

- The process by which an Interrogator identifies Tags. An Interrogator begins an inventory round by transmitting a *Query* command in one of four sessions.

## ❑ Access process

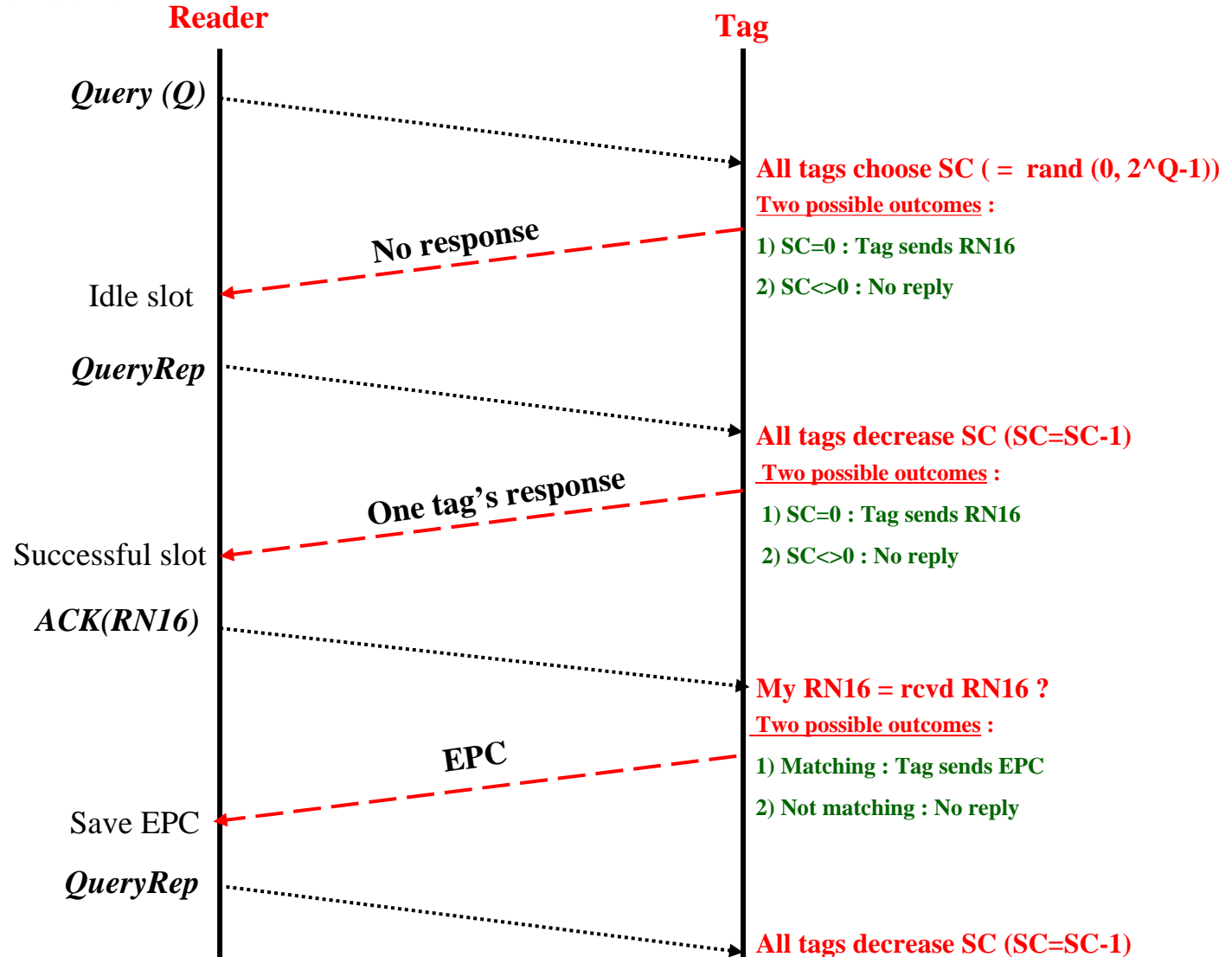
- The process by which an Interrogator transacts with (reads from or writes to) individual Tags.

# *Mandatory commands*

- ❏ **Select** command
  - Select a particular tag population based on user-defined criteria
- ❏ **Query** command
  - Initiate an inventory round
  - Decide which tags participate in the round
  - Give the seed value,  $Q$
- ❏ **QueryAdjust** command
  - Adjust  $Q$  (number of slots) without changing any other round parameters
  - Up and down  $Q$  value or not changing
- ❏ **QueryRep** command
  - Instruct tags to decrement their slot counters and, if slot=0 after decrementing, to backscatter and RN16 to the reader
- ❏ **ACK** command
  - Send an **ACK** to acknowledge a single tag.
  - **ACK** echoes the tag's backscattered RN16
- ❏ **NAK** command
  - **NAK** shall return all Tags to the **arbitrate** state (initialization)

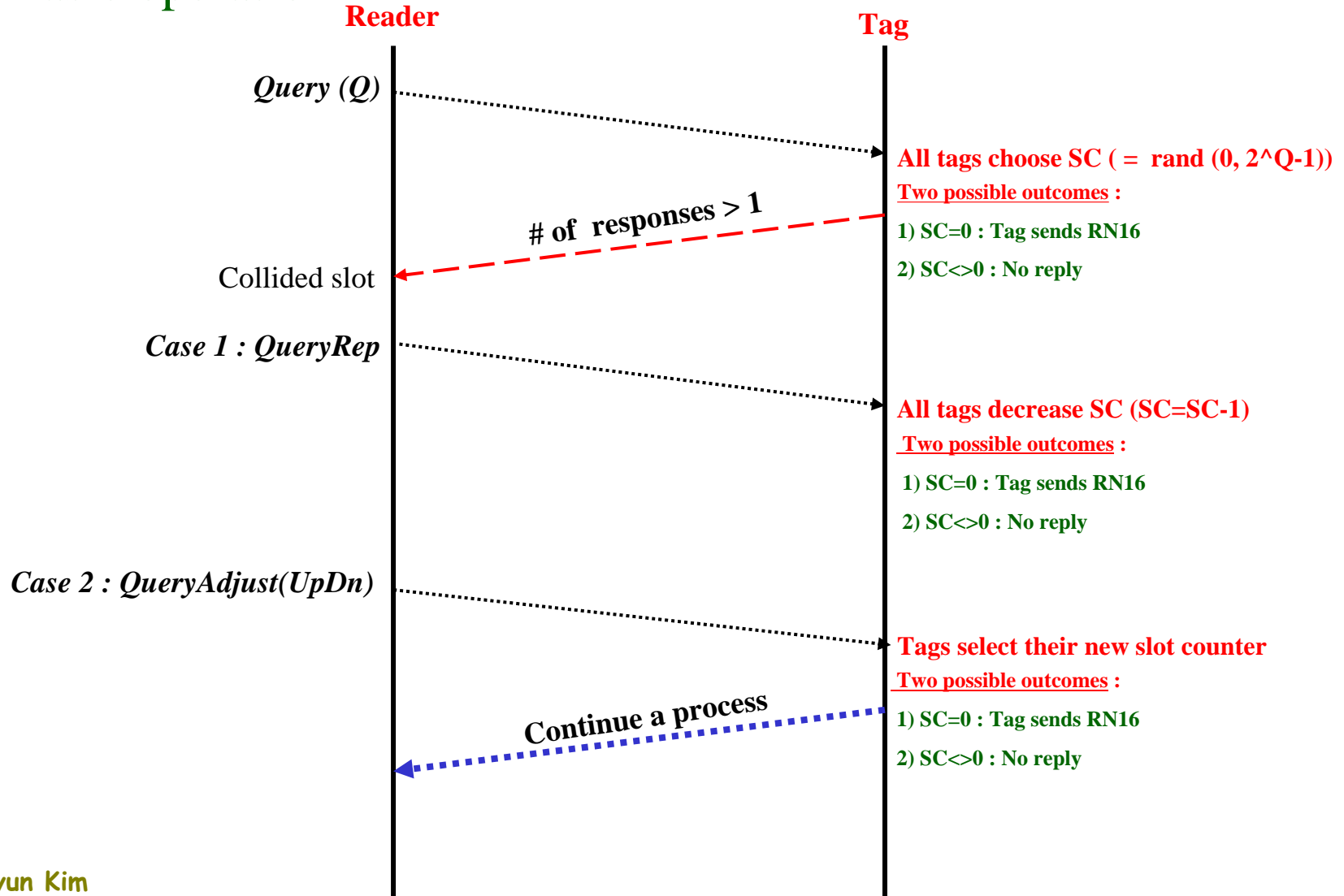
# Inventory process

## Basic operation



# Inventory process

## Basic operation



# *Inventory process*

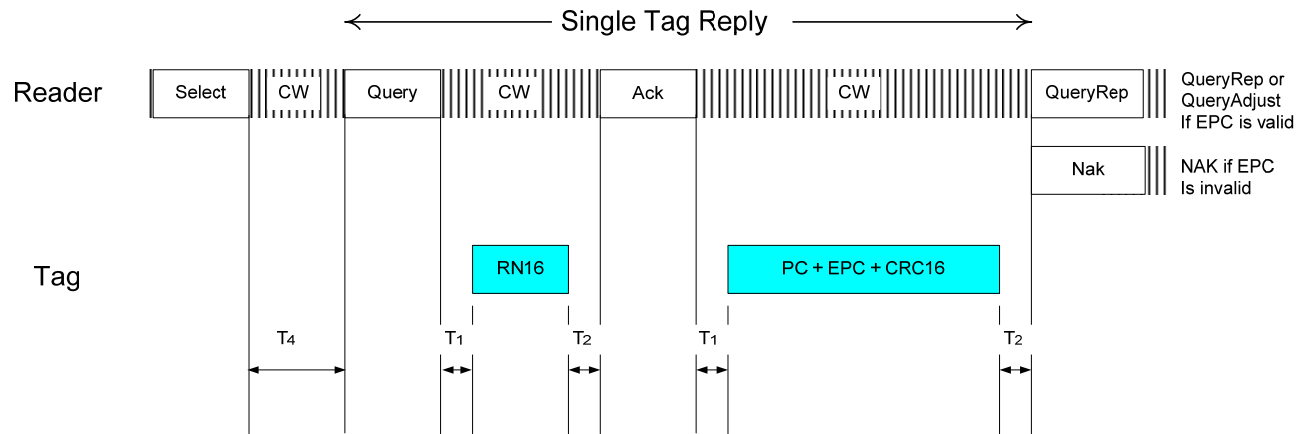
## ▣ Basic operation

- Reader issues a *Query* command with a parameter  $Q$ 
  - Starting the inventory round
- Tag generates SC(slot counter) using  $Q$  value [ $SC = \text{rand}(0, 2^Q - 1)$ ]
  - If a tag loads a zero, it backscatters an RN16
- Reader acknowledges the tag by returning the RN16
- Acknowledged tag backscatters its EPC
- After the identification of a tag
  - If Reader issues a *QueryRep* command
    - ✓ Tag inverts its **inventoried** flag and leaves the round(inactivation)
    - ✓ All other tags decrement their slot counters
    - ✓ If any tag decrements to zero, it replies with an RN16
  - If Reader issues a *QueryAdjust* command
    - ✓ Reader adjusts  $Q$  value adaptively
    - ✓ Tags select their new RN16
    - ✓ If any tag loads to zero, it replies with an RN16

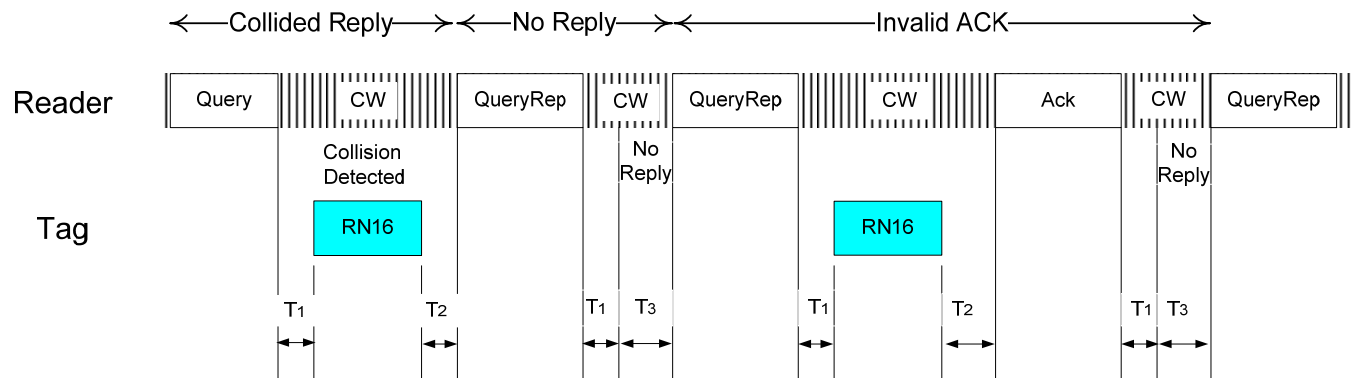


# Inventory process

## Link timing of Gen 2 protocol



Single tag reply

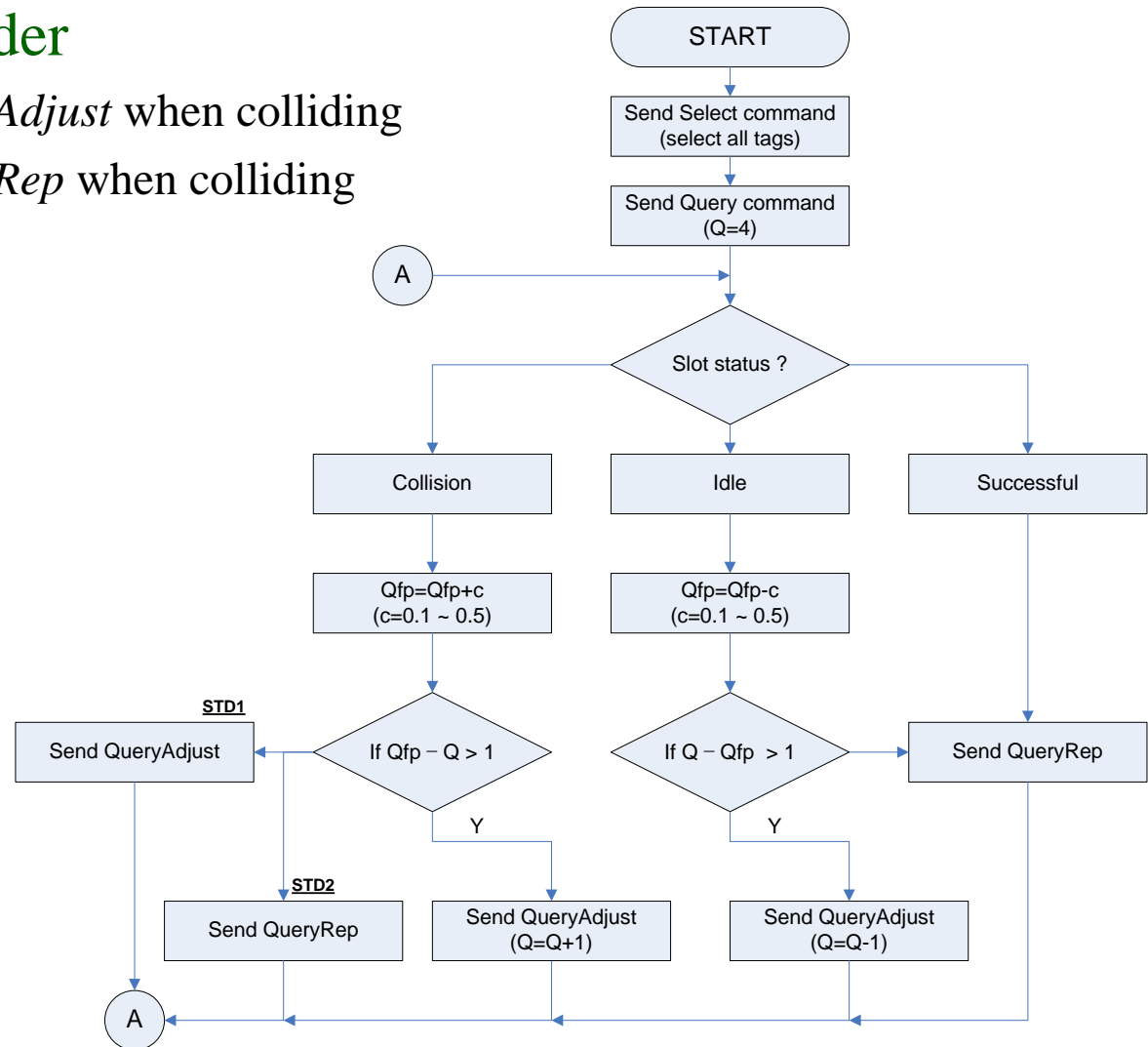


Collided tag reply

# Inventory process

## Flow chart of a reader

- STD 1 – Send *QueryAdjust* when colliding
- STD 2 – Send *QueryRep* when colliding



Where SC means slot counter which determines whether or not the tags send their RN16.

# Simulation results

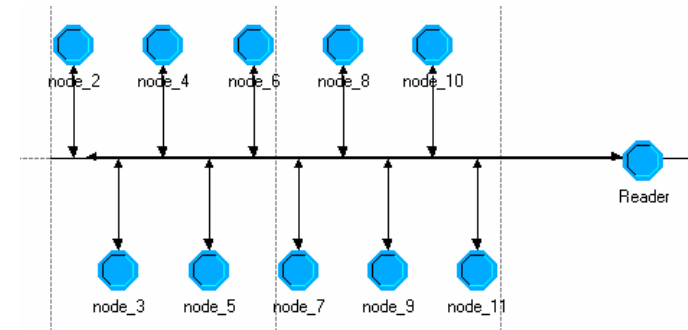
## Simulation environments

### Assumption

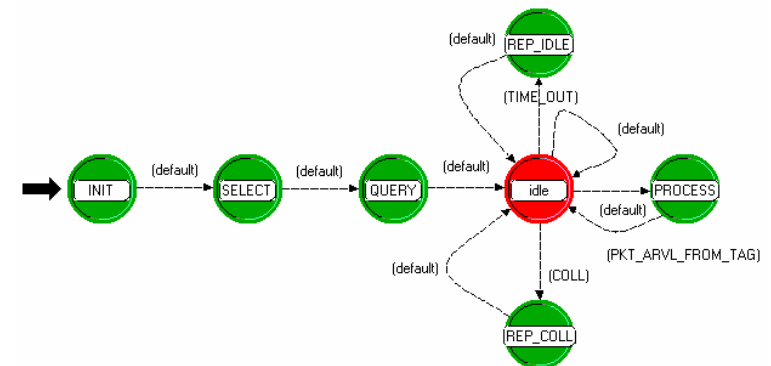
- Use OPNET simulator
- Do not consider errors in wireless channel
  - ✓ Actually bus topology used
- All tags have 96 bits of ID length

### Parameters [EPC Gen 2]

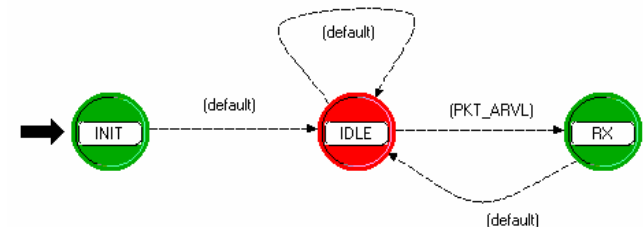
| Air interface |          | Data rate |          |
|---------------|----------|-----------|----------|
| Forward       | Backward | Forward   | Backward |
| PIE ASK       | FM0      | 40kb/s    | 640kb/s  |



Network model



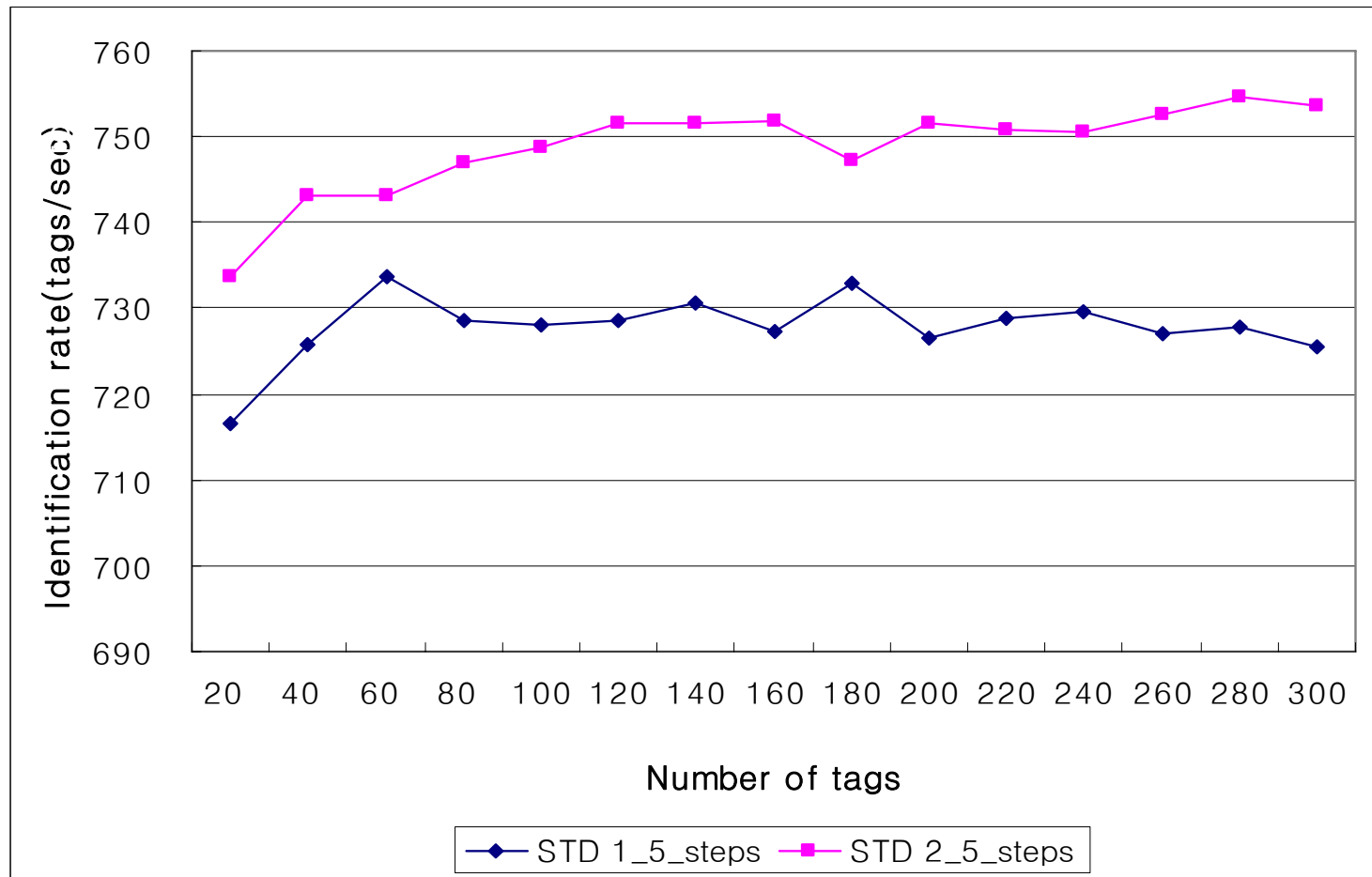
Process model (reader)



Process model ( tag )

# Simulation results

## Identification rate vs. number of tags



# Conclusion (1/2)

## ▣ 연구 개발 품목 (계획서 기준)

|   | 결과물명                            | 규격               | 수량 | 확인    |
|---|---------------------------------|------------------|----|-------|
| 1 | Anti-collision Algorithm 개발 설계서 | CD/<br>Hard Copy | 2  | 제출    |
| 2 | Algorithm Simulator Tool        | CD               | 2  | 제출    |
| 3 | Algorithm Simulation Model 설계서  | CD/<br>Hard Copy | 2  | 제출    |
| 4 | 기존 알고리즘 성능 분석서                  | CD               | 2  | 제출    |
| 5 | 중간보고서                           | CD/<br>Hard Copy | 2  | 제출    |
| 6 | 최종보고서                           | CD/<br>Hard Copy | 2  | 금일 제출 |

# Conclusion (2/2)

## 연구 실적

- Alien 社 알고리즘 분석
  - Alien 社 리더의 출력 파형 분석을 통한 동작 과정 분석
  - 탈레스 리더 구현에 적용
- Bin slot 정보를 이용한 알고리즘 제안
  - PingID 명령만을 사용하는 알고리즘
  - Bit 단위 충돌 응답 정보를 이용하는 알고리즘
  - Bin Slot 내의 충돌 有/無 정보를 이용하는 알고리즘
- 시뮬레이터 개발
  - 각 알고리즘의 성능 평가를 위한 시뮬레이터 개발
  - 추 후 Gen 2 및 다른 알고리즘의 성능 평가에 확장 및 적용 가능
- EPC Class 1 binary tree 논문 제출
  - TENCON 2005, EUC2005(LNCS)

## 추 후 예상 되는 결과물

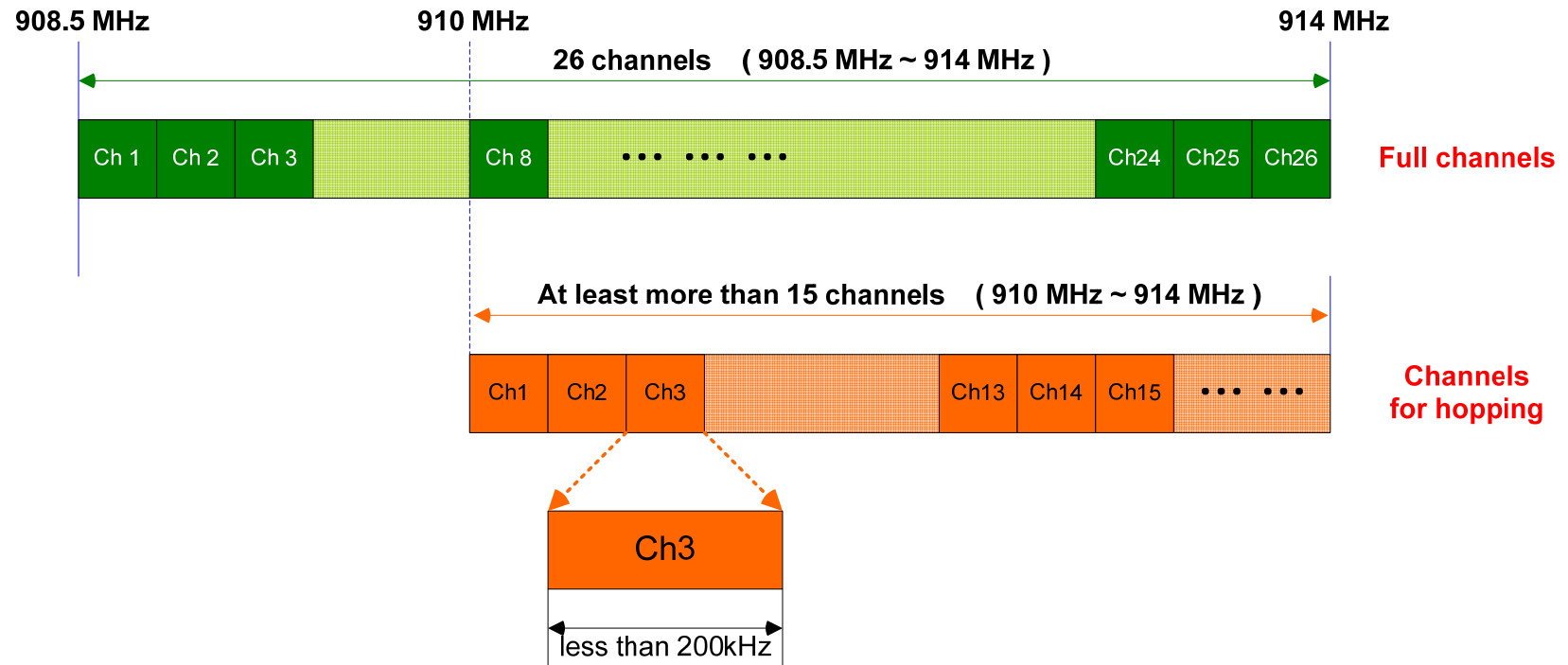
- 논문 2편
  - “Bin slot 정보를 이용한 EPC Class 1 용 알고리즘 – UCS 2006 (LNCS)”
  - “Gen 2 프로토콜 성능 분석 및 응용” – HPCC 2006 (LNCS)

# Issues in Gen 2

# Issues in Gen 2

## ❏ Channels for RFID

- 908.5 ~ 914 -> 26 channels
- 910 ~ 914 -> at least more than 15 channels when hopping



참고문헌 : 정보통신부고시 제 2004-66호 -  
 “방송·해상·항공·전기통신사업용외의기타업무용무선설비의기술기준 중 제 5조의  
 2(RFID/USN용 무선설비)”



# Issues in Gen 2

## ❑ Minimization of reader-to-reader interference

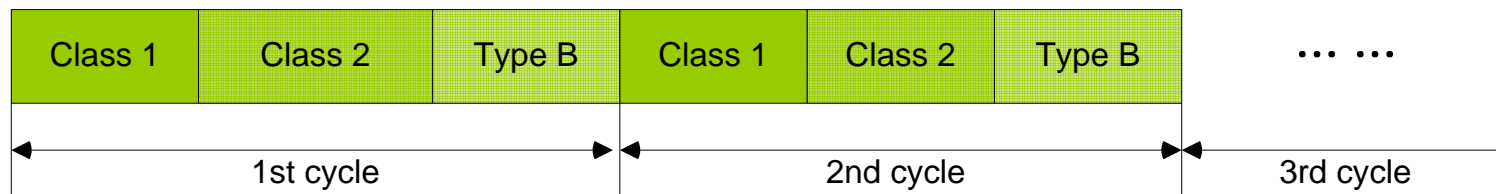
- Need the optimal frequency hopping sequence
  - Frequency hopping duration : less than 0.4 s
- Cell planning
  - Transmission power or distance

## ❑ Command combination

- Which command should be used when colliding or idle !
  - *Query, QueryRep, and QueryAdjust*

## ❑ Multi-protocol supporting

- Generally TDMA used

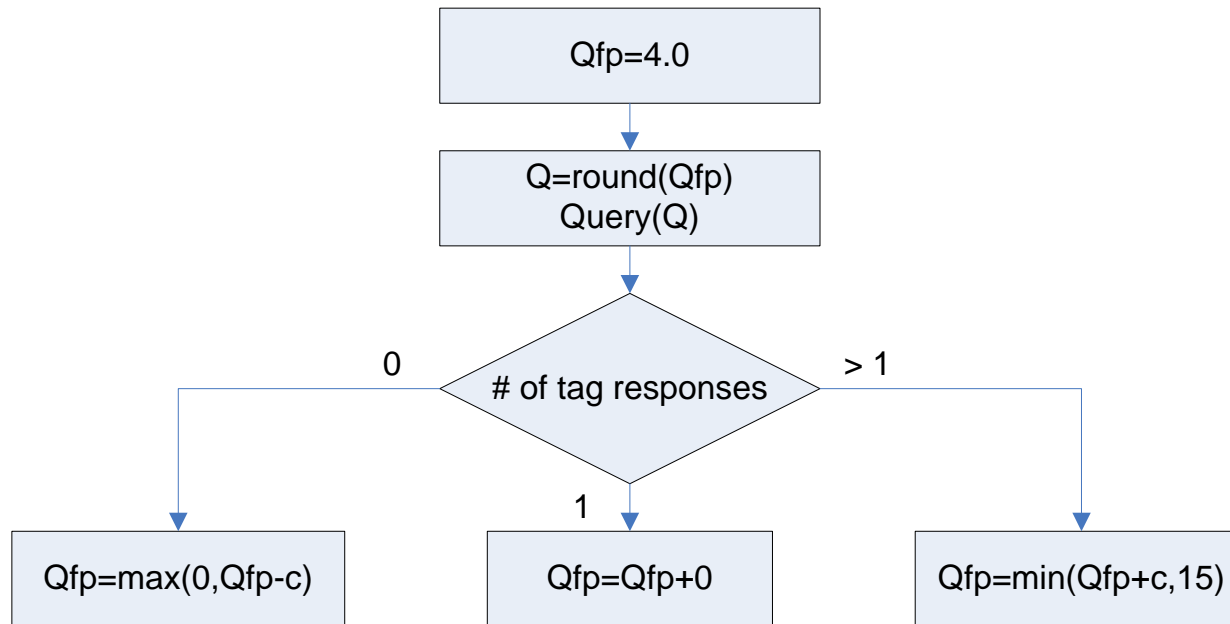


# Gen2 protocol basics

## ❏ Issues in Gen 2(con't)

### ➤ Optimization of $Q$ -selection algorithm

- Need the optimal  $c(0.1\sim 0.5)$  value according to the number of tags
- Determination of optimal  $Q$  value needed



Typical values for  $C$  are  $0.1 < c < 0.5$ . A reader typically uses small values of  $c$  when  $Q$  is large.

# Thank you !!



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**Home : [Http://winner.ajou.ac.kr](http://winner.ajou.ac.kr)**

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- [2] F. Zhou, D. Jin, C. Huang, and M. Hao, "Optimize the power consumption of Electronic Passive Tags for Anti-collision Schemes," IEEE, 2003.
- [3] J. L. Massey, "Collision resolution algorithms and random-access communications," Univ. California, Los Angeles, Tech. Rep. UCLAENG -8016, Apr., 1980.
- [4] ISO/IEC FDIS 18000-6:2003(E), Part 6: Parameters for air interface communications at 860-960 MHz, Nov. 26, 2003.
- [5] M. Jacomet, A. Ehrsam, and U. Gehrig, "Contactless Identification Device With Anticollision algorithm," IEEE Computer Society CSCC'99, Jul. 4-8, Athens., 1999.
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- [7] Harald Vogt, "Efficient Object Identification with Passive RFID Tags," Pervasive2002, pp.98-113, 2002.
- [8] C. S. Kim, K. L. Park, H. C. Kim and S. D. Kim, "An Efficient Stochastic Anti-collision algorithm using Bit-Slot Mechanism," PDPTA04, 2004.
- [9] EPC Global, EPCTM Tag Data Standards Version 1.1 Rev.1.24, Apr. 2004.
- [10] Auto-ID Center, 900 MHz ISM Band Class 1 Radio Frequency Identification Tag Interface Specification : Candidate Recommendation, Version 1.0.0, 2003.
- [11] H. S. Choi, J. R. Cha and J. H. Kim, "Improved Bit-by-bit Binary Tree algorithm in Ubiquitous ID System," in Proc. IEEE PCM 2004, Tokyo, Japan, Nov. 29 – Dec. 03, 2004, pp. 696-703.
- [12] 정보통신부고시 제 2004-66호 , “ 방송. 해상. 항공. 전기통신사업용외의기타업무용무선설비의 기술기준 중 제 5 조의 2(RFID/USN용 무선설비)”
- [13] H. S. Choi and J. H. Kim, "Anti-collision algorithm using Bin slot in RFID System," in Proc. IEEE TENCON '05, Melbourne, Australia, Nov. 21-24, 2005, p.71.
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# Back-up slides

# Select process

## ❑ Select command

- Select a particular Tag population based on user-defined criteria
- Enable union, intersection, and negation.
- Only one command, *Select*, allowed
- Fields
  - Target and Action indicate whether and how a *Select* modifies a Tag's **SL** or **inventoried** flag.
  - MemBank specifies if the mask applies to EPC, TID, or User memory.
  - Pointer, Length, and Mask : Pointer and Length describe a memory range. Mask, which must be Length bits long, contains a bit string that a Tag compares against the specified memory range.
  - Truncate specifies whether a Tag backscatters its entire EPC, or only that portion of the EPC immediately following Mask.

# Selection process

## ❏ Select command

Table 6.18 – *Select* command

|             | Command | Target   | Action               | MemBank                                   | Pointer                     | Length                   | Mask          | Truncate  | CRC-16 |
|-------------|---------|--|----------------------|---|-----------------------------|--------------------------|---------------|---|--------|
| # of bits   | 4       | 3  | 3                    | 2   | EBV                         | 8                        | Variable      | 1   | 16     |
| description | 1010    | 000: <b>Inventoried</b> (S0)<br>001: <b>Inventoried</b> (S1)<br>010: <b>Inventoried</b> (S2)<br>011: <b>Inventoried</b> (S3)<br>100: <b>SL</b><br>101: RFU<br>110: RFU<br>111: RFU | See<br>Table<br>6.19 | 00: RFU<br>01: EPC<br>10: TID<br>11: User | Starting<br>Mask<br>address | Mask<br>length<br>(bits) | Mask<br>value | 0: Disable<br>truncation<br>1: Enable<br>truncation |        |

Where, TID means Tag mask-designer identifier

Table 6.19 – Tag response to Action parameter

| Action | Matching                                     | Non-Matching                                 |
|--------|--|--|
| 000    | assert <b>SL</b> or <b>inventoried</b> → A   | deassert <b>SL</b> or <b>inventoried</b> → B |
| 001    | assert <b>SL</b> or <b>inventoried</b> → A   | do nothing                                   |
| 010    | do nothing                                   | deassert <b>SL</b> or <b>inventoried</b> → B |
| 011    | negate <b>SL</b> or (A → B, B → A)           | do nothing                                   |
| 100    | deassert <b>SL</b> or <b>inventoried</b> → B | assert <b>SL</b> or <b>inventoried</b> → A   |
| 101    | deassert <b>SL</b> or <b>inventoried</b> → B | do nothing                                   |
| 110    | do nothing                                   | assert <b>SL</b> or <b>inventoried</b> → A   |
| 111    | do nothing                                   | negate <b>SL</b> or (A → B, B → A)           |

# *Inventory process*

## ❏ *Query command*

- ✦ Initiate an inventory round and decide which Tags participate in the round (where “inventory round” is defined as the period between successive *Query* commands.)
- ✦ Fields
  - DR (Trcal divide ratio) sets the T=>R link frequency
  - M (cycles per symbol) sets the T=>R data rate and modulation format
  - TRext chooses whether the T=>R preamble is prepended with a pilot tone
  - Sel chooses which Tags respond to the Query
  - Session chooses a session for the inventory round
  - Target selects whether Tags whose inventories flag is A or B participate in the inventory round. Tags may change their inventoried flag from A to B (or vice versa) as a result of being singulated.
  - Q sets the number of slots in the round



# *Inventory process*

## ❏ *Query command*

- Upon receiving a *Query*, Tags with matching Sel and Target pick a random value in the range  $(0, 2^Q - 1)$ , and load this value into their slot counter. If a Tag, in response to the *Query*, loads its slot counter with zero, then its reply to a *Query* shall be as shown in Table 6.21(next slide) ;otherwise the Tag shall remain silent.
- A *Query* may initiate an inventory round in a new session, or in the prior session. If a Tag in the **acknowledged**, **open**, or **secured** states receives a *Query* whose session parameter matches the prior session, it shall invert its **inventoried** flag (i.e. A->B or B->A) for the session.

# Inventory process

## ❑ Query command

Table 6.20 – Query command

|             | Command | DR                    | M  | TRExt                                 | Sel                                     | Session                              | Target       | Q    | CRC-5 |
|-------------|---------|-----------------------|--|---------------------------------------|---|--------------------------------------|--------------|------|-------|
| # of bits   | 4       | 1                     | 2  | 1                                     | 2                                       | 2                                    | 1            | 4    | 5     |
| description | 1000    | 0: DR=8<br>1: DR=64/3 | 00: M=1<br>01: M=2<br>10: M=4<br>11: M=8 | 0: No pilot tone<br>1: Use pilot tone | 00: All<br>01: All<br>10: ~SL<br>11: SL | 00: S0<br>01: S1<br>10: S2<br>11: S3 | 0: A<br>1: B | 0–15 |       |

Table 6.21 – Tag reply to a Query command

|             | Response |
|-------------|----------|
| # of bits   | 16       |
| description | RN16     |

# *Inventory process*

## ❏ *QueryAdjust* command

- QueryAdjust adjusts  $Q$  (i.e. the number of slots in an inventory round) without changing any other round parameters.
- Fields
  - Session corroborates the session number for the inventory round.
  - UpDn determines whether and how the Tag adjusts  $Q$ , as follows:
    - ✓ 110 : Increment  $Q$  (i.e.  $Q=Q+1$ )
    - ✓ 000 : No change to  $Q$ .
    - ✓ 011 : Decrement  $Q$  (i.e.  $Q=Q-1$ )
- Upon receiving a *QueryAdjust* Tags first update  $Q$ , then pick a random value in the range  $(0, 2^Q-1)$ , and load this value into their slot counter.
- Tags shall respond to a *QueryAdjust* only if they received a prior *Query*.

# Inventory process

## ❑ QueryAdjust command

Table 6.22 – QueryAdjust command

|             | Command | Session                              | UpDn  |
|-------------|---------|--------------------------------------|---|
| # of bits   | 4       | 2                                    | 3   |
| description | 1001    | 00: S0<br>01: S1<br>10: S2<br>11: S3 | 110: Q = Q + 1<br>000: No change to Q<br>011: Q = Q - 1 |

Table 6.23 – Tag reply to a QueryAdjust command

|             | Response |
|-------------|----------|
| # of bits   | 16       |
| description | RN16     |

# *Inventory process*

## ❏ *QueryRep* command

- *QueryRep* instructs Tags to decrement their slot counters and, if slot=0 after decrementing, to backscatter and RN16 to the Interrogator.
- Fileds
  - Session corroborates the session number for the inventory round.
    - ✓ If a Tag receives a *QueryRep* whose session number is different from the session number in the *Query* that initiated the round it shall ignore the command.
- If a Tag, in response to *QueryRep*, decrements its slot counter and the decremented slot value is zero, then its reply to *QueryRep* shall be as shown in Table 6.25(next slide) ; otherwise the Tag shall remain silent.
- Tags in the **acknowledged**, **open** or **secured** states that receive a *QueryRep* invert their **inventoried** flag (i.e. A->B or B->A, as appropriate) for the current session and transition to **ready**.

# *Inventory process*

## ❏ *QueryRep* command

Table 6.24 – *QueryRep* command

|             | Command | Session                              |
|-------------|---------|--------------------------------------|
| # of bits   | 2       | 2                                    |
| description | 00      | 00: S0<br>01: S1<br>10: S2<br>11: S3 |

Table 6.25 – Tag reply to a *QueryRep* command

|             | Response |
|-------------|----------|
| # of bits   | 16       |
| description | RN16     |

# *Inventory process*

## ❏ *ACK* command

- An Interrogator sends an *ACK* to acknowledge a single Tag.
- *ACK* echoes the Tag's backscattered RN16.
- An Interrogator issues an *ACK* to a Tag
  - If the received RN16 is equal to my RN 16
    - ✓ Send EPC
  - If the received RN16 is not equal to my RN 16
    - ✓ Do nothing

# *Inventory process*

## ▣ *ACK* command

Table 6.26 – *ACK* command

|             | Command | RN                           |
|-------------|---------|------------------------------|
| # of bits   | 2       | 16                           |
| description | 01      | Echoed RN16 or <u>handle</u> |

Table 6.27 – Tag reply to a successful *ACK* command

|             | Response  |
|-------------|---|
| # of bits   | 21 to 528   |
| description | {PC, EPC, CRC-16} OR {00000 <sub>2</sub> , truncated EPC, CRC-16} |



# *Inventory process*

## ❏ *NAK (mandatory)*

- *NAK* shall return all Tags to the **arbitrate** state unless they are in **ready** or **killed**.
- Tags shall not reply to a *NAK*.

Table 6.28 – *NAK* command

|             | Command  |
|-------------|----------|
| # of bits   | 8        |
| description | 11000000 |